

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee: YOKOZEKI et al

Patent No.: 6,832,488

Patent Date: December 21, 2004

For: Refrigerating Machine

Art Unit: 3744

Examiner: M. Norman

Conf. No.: 9506

**REQUEST FOR CORRECTION OF MAINTENANCE FEE RECORDS  
REGARDING ENTITY STATUS**

Mail Stop: Maintenance Fee  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

July 8, 2008

Sir:

Patentee, through its undersigned attorney, request correction of the entity status for the above-identified patent, which issued from Serial No. 10/614,018.

Although, patentee through a maintenance fee payment organization, attempted to pay the 3 1/2 year maintenance fee as a large entity, since the maintenance fee records show the entity as being a "small" entity, as shown by the attached copy of patent maintenance fees due and the maintenance fee statement, the USPTO apparently required payment as a "small" entity. However, patentee has never claimed small entity status for the above-identified patent and application, as evidenced by the attached copy available from PAIR of the Issue Fee Transmittal, wherein the payment was made as a large entity, and box 5(b) was marked indicating "Applicant is not claiming Small Entity status". (emphasis added).

Accordingly, correction of the records to show that the proper entity status is "large" entity, is requested. Upon correction of the records, the maintenance fee organization will submit the appropriate deficiencies in the fees.

Favorable action is requested.

To the extent necessary, applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 500.42913X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

/Melvin Kraus/ MK  
Melvin Kraus  
Registration No. 22,466

MK/jla  
(703) 312-6600

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<b>Patent Maintenance Fees</b>		<b>07/07/2008 01:00 PM EDT</b>	
Patent Number:	6832488	Application Number:	10614018
Issue Date:	12/21/2004	Filing Date:	07/08/2003
Window Opens:	12/21/2011	Surcharge Date:	06/22/2012
Window Closes:	12/21/2012	Payment Year:	
Entity Status:	SMALL		
Customer Number:	146		
Street Address:	OLCOTT INTERNATIONAL & CO. 62 HACKENSACK PLANK ROAD		
City:	WEEHAWKEN		
State:	NJ		
Zip Code:	07087		
Phone Number:	(201) 863-4200		
<b>Currently there are no fees due.</b>			

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**Maintenance Fee Statement**

**07/02/2008**

**Patent Number:** 6832488

**Customer Number:** 146

OLCOTT INTERNATIONAL & CO.  
62 HACKENSACK PLANK ROAD  
P.O. 3014  
WEEHAWKEN NJ 07087

According to the records of the U.S. Patent and Trademark Office (USPTO), the maintenance fee surcharge have been timely paid for the patent listed below. The "PYMT DATE" column indicates (i.e., the date the payment was filed).

The payment shown below is subject to actual collection. If the payment is refused or charged back by the institution, the payment will be void and the maintenance fee and any necessary surcharge unpaid.

Direct any questions about this statement to: Mail Stop M Correspondence, Director of the USPTO, Alexandria, VA 22313-1450.

PATENT NUMBER	FEE AMT	SUR-CHARGE	PYMT DATE	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPL. FILING DATE	PAYMENT YEAR	SI EN
6,832,488	\$465.00	\$0.00	05/27/08	10/614,018	12/21/04	07/08/03	04	

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[Click here to obtain your Maintenance Fee Statement as a PDF.](#)

Please note that in order to view your Maintenance Fee Statement as a PDF, you must have Acrobat Reader installed. If you do not have it, download it for FREE!




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**PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

or Fax (703) 746-4000 500.42913X00

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

020457 7590 08/06/2004

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

ANTONELLI, TERRY, STOUT & KRAUS, LLP  
1300 NORTH SEVENTEENTH STREET  
SUITE 1800  
ARLINGTON, VA 22209-9889

**Certificate of Mailing or Transmission**  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

11/09/2004 NNGUYEN2 00000116 10614018

NOV 08 2004  
U.S. POSTAL OFFICE

01 FC:1501  
02 FC:1504

1370.00 OP  
300.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/614,018	07/08/2003	Atsuhiko Yokozeki	500.42913X00	9506

TITLE OF INVENTION: REFRIGERATING MACHINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1690	11/08/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
NORMAN, MARC E	3744	062-228300			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Antonelli, Terry, Stout & Kraus, LLP

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hitachi, Ltd., trustee, for the benefit of  
Hitachi Air Conditioning Systems Co., Ltd.

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent):  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

Issue Fee

A check in the amount of the fee(s) is enclosed.

Publication Fee (No small entity discount permitted)

Payment by credit card. Form PTO-2038 is attached.

Advance Order - # of Copies \_\_\_\_\_

The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 01-2135 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

Hung H. Bui # 40,4139 (Date)

November 8, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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